

Indiana State Department of Health

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 003757	(X2) MULTIPLE CONSTRUCTION A. BUILDING _____ B. WING _____		(X3) DATE SURVEY COMPLETED 10/12/2012
NAME OF PROVIDER OR SUPPLIER MAXIM HEALTHCARE SERVICES INC			STREET ADDRESS, CITY, STATE, ZIP CODE 4646 W JEFFERSON BLVD STE 100 FORT WAYNE, IN 46804		
(X4) ID PREFIX TAG	SUMMARY STATEMENT OF DEFICIENCIES (EACH DEFICIENCY MUST BE PRECEDED BY FULL REGULATORY OR LSC IDENTIFYING INFORMATION)	ID PREFIX TAG	PROVIDER'S PLAN OF CORRECTION (EACH CORRECTIVE ACTION SHOULD BE CROSS-REFERENCED TO THE APPROPRIATE DEFICIENCY)	(X5) COMPLETE DATE	
N 000	<p>Initial Comments</p> <p>This was a home health agency State licensure survey.</p> <p>Survey dates: October 9- 12, 2012.</p> <p>Facility #: 003757</p> <p>Surveyor: Miriam Bennett, RN, BSN, PHNS</p> <p>Census Service Type: Skilled: 48 Home Health Aide Only: 28 Personal Care Only: 0 Total: 76</p> <p>Sample: RR w/HV: 5 RR w/o HV: 5 Total: 10</p> <p>Quality Review: Joyce Elder, MSN, BSN, RN October 16, 2012</p>	N 000			
N 466	<p>410 IAC 17-12-1(j) Home health agency administration/management</p> <p>Rule 12 Sec. 1(j) The information obtained from the: (1) physical examinations required by subsection (h); and (2) tuberculosis evaluations and clinical follow-ups required by subsection (i) must be maintained in separate medical files and treated as confidential medical records, except as provided in subsection (k).</p> <p>This RULE is not met as evidenced by: Based on personnel file review, policy review, and interview, the agency failed to ensure the</p>	N 466			

Indiana State Department of Health

TITLE

(X6) DATE

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

STATE FORM

6899

761P11

If continuation sheet 1 of 4

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N 466	Continued From page 1 confidential medical records of employees were treated as confidential and maintained in separate medical files for 11 of 11 personnel files reviewed with the potential to affect all employees of the agency. (A, B, C, D, E, F, G, H, I, J, and K) Findings include: 1. Personnel files A, B, C, D, E, F, G, H, I, J, and K each contained a folder labeled "Personnel File II (PF2) Confidential Employee Information." The folders contained the medical information of Tuberculosis screenings, health certificates or physicals, and Hepatitis B forms along with background check authorization, criminal background results, W-4 forms, miscellaneous payroll forms, employee status forms, driver's license verification forms, and patient transportation checklist and authorization forms. 2. On 10/9/12 at 2:00 PM, employee M indicated the agency recently changed the policy for personnel files and section PF2 has always contained the medical information since they started their Human Resources (HR) position. 3. The agency's policy titled "Personnel File Format," #HH-HR-010.3, effective date 3/26/12, states "4.2.1. All health related information on personnel will be maintained in a separate file to maintain confidentiality according to the Americans with Disabilities Act."	N 466			
N 470	410 IAC 17-12-1(m) Home health agency administration/management Rule 12 Sec. 1(m) Policies and procedures shall be written and implemented for the control of communicable disease in compliance with applicable federal and state laws.	N 470			

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N 470	<p>Continued From page 2</p> <p>This RULE is not met as evidenced by: Based on policy review, document review, observation, and interview, the agency failed to ensure the home health agency's infection control policies were followed during 1 of 5 home visits with the potential to affect all the patients seen by employee N. (#5)</p> <p>Findings include:</p> <p>1. The agency's policy titled "Hand Hygiene," #HH-ICS-005, effective date 4/22/11, states, "3.1 Personnel providing care in the home setting will regularly wash their hands, per the most recently published CDC (Centers for Disease Control) regulations and guidelines for hand hygiene in health care settings. ... 3.3 When hands are not visibly soiled, they should be decontaminated using an alcohol-based hand rub. An alternative to use of an alcohol-based hand rub is to wash hands with an antimicrobial soap and water. ... 4.1.6.3 Wash hands for at least 15 seconds covering all surfaces of the hands and fingers."</p> <p>2. Document review from the Centers for Disease Control (CDC) titled "Guideline for Hand Hygiene in Health Care Settings" volume number 51, document number RR-16 dated 10/25/02 states, "Indications for handwashing and hand antisepsis: ... F. Decontaminate hands after contact with a patient's intact skin (e.g., when taking a pulse or blood pressure, and lifting a patient) G. Decontaminate hands after contact with body fluids or excretions, mucous membranes, nonintact skin, and wound dressings if hands are not visibly soiled. H. Decontaminate hands if moving from a contaminated-body site to a clean-body site during patient care. I. Decontaminate hands after contact with</p>	N 470			

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N 470	<p>Continued From page 3</p> <p>inanimate objects (including medical equipment) in the immediate vicinity of the patient ... 6. Other Aspects of Hand Hygiene ... C. Wear gloves when contact with blood or other potentially infectious materials, mucous membranes, and nonintact skin could occur. D. Remove gloves after caring for a patient. Do not wear the same pair of gloves for the care of more than one patient, and do not wash gloves between uses with different patients. E. Change gloves during patient care if moving from a contaminated body site to a clean body site. "</p> <p>3. During home visit observation on 10/11/12, employee N, a Licensed Practical Nurse (LPN), was observed administering a breathing treatment to patient #5. The LPN washed hands with soap and water but only scrubbed hands for approximately 10 seconds. After disconnecting the equipment, the LPN removed gloves, then washed hands again with soap and water but only used 10 seconds of scrubbing. The LPN then proceeded to wash the equipment at the bathroom sink without wearing gloves. When finished washing equipment, the LPN washed hands with soap and water for approximately 5 seconds.</p> <p>4. On 10/11/12 at 12:25 PM, employee K indicated the LPN should have washed hands longer than 5 and 10 seconds while using soap and water.</p>	N 470			